



D103 Rec'd PCT/PTO 08 DEC 2004

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Approved for use through 3/31/2007, OMB 0651-0021
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 39714/GM/lp
INTERNATIONAL APPLICATION NO. PCT/EP03/06197	INTERNATIONAL FILING DATE June 12, 2003	U.S. APPLICATION NO. (if known, see 37 CFR 1.5) Still unknown 107517203
TITLE OF INVENTION BLUE EMITTING TRIS (8-OXOQUINOLINE) ALUMINUM (III) (Alq3)		
APPLICANT(S) FOR DO/EO/US Michele MUCCINI - Maria Antonietta LOI - Norberto MASCIOCCHI - Angelo SIRONI		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11 to 20 below concern document(s) or information included:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: redrafted claims 9 to 16 for entering the Preliminary Amendment.</p>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) Still unknown	INTERNATIONAL APPLICATION NO. PCT/EP03/06197	ATTORNEY'S DOCKET NUMBER 39714/GM/lp		
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1100.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$950.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$790.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$750.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =		CALCULATIONS PTO USE ONLY		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ 950.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	8 - 20 =		X \$18.00	\$
Independent claims	5 - 3 =	2	X \$88.00	\$ 176.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$300.00	\$
		TOTAL OF ABOVE CALCULATIONS =		\$ 1126.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.		\$		
		SUBTOTAL =		\$ 563.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		+\$		
		TOTAL NATIONAL FEE =		\$ 563.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+\$ 40.00		
		TOTAL FEES ENCLOSED =		\$ 603.00
		Amount to be refunded:		\$
		Amount to be charged:		\$
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.				
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>13-3860</u> in the amount of \$ <u>603.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-3860</u> . A duplicate copy of this sheet is enclosed.				
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.				
SEND ALL CORRESPONDENCE TO: MODIANO & ASSOCIATI Via Meravigli, 16 20123 MILANO ITALY - EUROPE Tel.: (+39) 02 85907777 Fax: (+39) 02 863860				
 SIGNATURE Guido MODIANO NAME 19,928 REGISTRATION NUMBER				
Milano, Italy - December 1, 2004				

MODIANO, JOSIF, PISANTY STAUB

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DT05 Rec'd PCT/PTO 08 DEC 2004

Milan, Italy – December 1, 2004

**RE: New U.S. Patent Application in the name
of Michele MUCCINI et al to be filed as confirmation of
application No. PCT/EP03/06197 of June 12, 2003**

Agent's docket: 39714/GM/lp

Hon.

COMMISSIONER OF PATENTS AND TRADEMARKS

MAIL STOP: P.C.T.

WASHINGTON 20231 D.C. - U.S.A.

Sir,

Applicants desire processing of the above International Application in the national U.S. phase under P.C.T..

This is to enclose:

- 1) Transmittal letter in duplicate; Authorization (Form PTO-1390) to collect US \$ 603.00;
- 2) Assignment to
 - CONSIGLIO NAZIONALE DELLE RICERCHE;
 - UNIVERSITÀ DEGLI STUDI DI MILANO;
 - UNIVERSITÀ DEGLI STUDI DELL'INSUBRIA;
 - + Deposit Account Order for the Assignment fee in the amount of US \$ 40.=;
- 3) Three verified Statements claiming small entity status - (Nonprofit organizations);
- 4) Specification and claims with combined declaration and power of attorney duly signed on November 29th, 2004 and attached thereto for the above Patent Application;
- 5) Four formal drawings accompanying the specification;
- 6) Preliminary Amendment with new claims 9 to 16;

We further enclose the following documents:

- 7) Notification of the International Application Number and of the International Filing Date;
+ Copy of the Request;

- 8) Notification of transmittal of the International Search Report or the Declaration; International Search Report and cited references;
+ Information Disclosure Statement under 37 CFR 1.97(b);
- 9) Copy of publication of International Application published under P.C.T.;
- 10) Notice informing the Applicant of the communication of the International Application to the designated offices.

The priority date of the Italian Patent Application N. MI2002A001330 of June 14, 2002 is respectfully claimed. The Certified Copy was timely submitted at WIPO.

Please place of record in the file the enclosed papers and kindly acknowledge receipt thereof.

Will you please collect immediately the credit specified in the deposit account order, so as to allow the Application to receive an earliest filing date, however within:

DECEMBER 14, 2004.

Thanking you in advance,

Respectfully submitted



Guido MODIANO
(Reg. No. 19,928)

Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/EP03/006197

International filing date: 12 June 2003 (12.06.2003)

Document type: Certified copy of priority document

Document details: Country/Office: IT
Number: MI2002A001330
Filing date: 14 June 2002 (14.06.2002)

Date of receipt at the International Bureau: 15 February 2005 (15.02.2005)

Remark: Priority document submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b)



World Intellectual Property Organization (WIPO) - Geneva, Switzerland
Organisation Mondiale de la Propriété Intellectuelle (OMPI) - Genève, Suisse